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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

to be used for all correspondence after initial filing)

Application Number 09/915,202

Filing Date July 23, 2001

First Named Inventor Ochi, Sam

Group Art Unit 2816

Examiner Name Not Yet Assigned

Total Number of Pages in This Submission

Attorney Docket Number 011775-010610US

## ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☒ Response to Missing Parts/  
Incomplete Application

☒ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53

☒ Assignment Papers (copy)  
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69)  
and Accompanying Petition

☐ Petition to Convert to a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to  
Group

☐ Appeal Communication to Board of  
Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)  
(please identify below):

Return Postcard

Remarks

The Commissioner is authorized to charge any additional fees to  
Deposit Account 20-1430.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
and  
Individual name

Townsend and Townsend and Crew LLP

Kevin T. LeMond

Reg. No. 35,933

Signature

Date

11/5/01

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

11/5/01

Typed or printed name

Lata Olivier

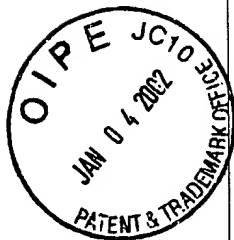
Signature

Date

11/5/01

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SF 1288590 v1

#3



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

PATENT  
Attorney Docket No.: 011775-010610US

Assistant Commissioner for Patents  
Attn: Box Missing Parts  
Washington, D.C. 20231

On November 5, 2001

TOWNSEND and TOWNSEND and CREW LLP

By: [Signature]  
Lata Olivier

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Sam Ochi

Application No.: 09/915,202

Filed: July 23, 2001

For: Active Power Filter for Isolating  
Electrically Noisy Load from Low Noise  
Power Supply

Examiner: Not Yet Assigned

Art Unit: 2816

**TRANSMITTAL LETTER –  
RESPONSE TO NOTICE OF MISSING  
PARTS**

Attn: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Nonprovisional Application,  
dated September 10, 2001, enclosed are the following to be made of record in the above-  
identified application:

- 1) Executed Declaration
- 2) Power of Attorney and Certificate of Assignee Under 37 C.F.R. § 3.73(b)
- 3) Copy of Assignment
- 4) Copy of Notice of Missing Parts

#3

Sam Ochi  
Application No.: 09/915,202  
Page 2


PATENT

Please charge Deposit Account No. 20-1430 for the following fees:

Large Entity:	(a)	Filing Fee (§ 1.16(a)) (Large Entity)	\$740.00
	(b)	Excess Claims Fees (§ 1.16(b), (c)):	
		12 - 20 = 0                      x \$18.00 =	\$0.00
		- 2 = 0                              x \$84.00 =	\$0.00
	(c)	Missing Parts Surcharge	\$130.00
		<b>TOTAL FEES TO BE CHARGED</b>	<b>\$870.00</b>

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430. This Transmittal Letter is submitted in triplicate.

Respectfully submitted,

  
Kevin T. LeMond  
Reg. No. 35,933

**Customer No. 20350**

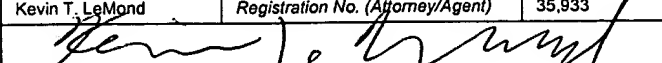
TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
Tel: 415-576-0200  
Fax: 415 576-0300  
KTL:lo

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FEE TRANSMITTAL for FY 2001 <small>Patent fees are subject to annual revision.</small>		Complete if Known	
		Application Number	09/915,202
		Filing Date	July 23, 2001
		First Named Inventor	Ochi, Sam
		Examiner Name	Not Yet Assigned
		Group Art Unit	2816
TOTAL AMOUNT OF PAYMENT (\$)		870	Attorney Docket No. 011775-010610US

METHOD OF PAYMENT		FEE CALCULATION (continued)									
<b>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</b>  Deposit Account Number: <b>20-1430</b>  Deposit Account Name: <b>Townsend and Townsend and Crew LLP</b>  <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		<b>3. ADDITIONAL FEES</b>									
<b>2. <input type="checkbox"/> Payment Enclosed:</b>  <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other											
<b>FEE CALCULATION</b>											
<b>1. BASIC FILING FEE</b>											
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid						
101	740	201	370	Utility filing fee	740						
106	330	206	165	Design filing fee							
107	510	207	255	Plant filing fee							
108	740	208	370	Reissue filing fee							
114	160	214	80	Provisional filing fee							
<b>SUBTOTAL (1)</b>					<b>(\$740)</b>						
<b>2. EXTRA CLAIM FEES</b>											
Total Claims	12	-20**	=	Extra Claims	0	X	Fee from below	\$18	=	Fee Paid	\$0
Independent Claims	2	-3**	=		0	X		\$84	=		\$0
Multiple Dependent						X			=		
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description							
103	18	203	9	Claims in excess of 20							
102	84	202	42	Independent claims in excess of 3							
104	280	204	140	Multiple dependent claim, if not paid							
109	84	209	42	** Reissue independent claims over original patent							
110	18	210	9	** Reissue claims in excess of 20 and over original patent							
<b>SUBTOTAL (2)</b>					<b>(\$0)</b>						
**or number previously paid, if greater; For Reissues, see above											
						<b>5. OTHER FEES (specify)</b>					
						The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.					
						*Reduced by Basic Filing Fee Paid					
						<b>SUBTOTAL (3)</b>					
						<b>(\$130)</b>					

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Kevin T. LeMond	Registration No. (Attorney/Agent)	35,933	Telephone	415-576-0200
Signature		Date	11/5/01		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/915,202	07/23/2001	Sam Ochi	011775-010610US

CONFIRMATION NO. 5283

20350  
TOWNSEND AND TOWNSEND AND CREW  
TWO EMBARCADERO CENTER  
EIGHTH FLOOR  
SAN FRANCISCO, CA 94111-3834

FORMALITIES LETTER



\*OC000000006537017\*

Date Mailed: 09/10/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

1/09/2002 BSAYASI1 00000024 201430 09915202

1 FC:101 740.00 CH  
2 FC:105 130.00 CH